

862.C2392

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PATENT APPLICATION



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

FUMITAKA TOYOMURA, ET AL.

Application No.: 09/963,569

Filed: September 27, 2001

For: POWER CONVERTING
APPARATUS AND POWER
GENERATING APPARATUS

Examiner: Unassigned

Group Art Unit: 2838

December 27, 2001

HS
JP
Efficiency
7-11/02

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents, along with English language Abstracts for the non-English documents that Applicants have obtained from a commercial database, are also enclosed.

JP-A 58069470 is cited on page 11 of the specification and is believed to be relevant for the reasons discussed therein. U.S. Patent No. 5,886,890 is the English language counterpart to JP-A 10-14111.

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The Examiner's attention is also directed to the following U.S. Applications:

| <u>APPLICATION NO.</u> | <u>FILING DATE</u> | <u>GROUP ART UNIT</u> |
|------------------------|--------------------|-----------------------|
| 09/818,604 | 03/28/2001 | 2838 |
| 09/961,328 | 09/25/2001 | 2858 |
| 08/914,227 | 08/19/1997 | 2838 |
| 09/984,391 | 10/30/2001 | 2833 |
| 09/096,515 | 06/12/1998 | 3635 |


In accordance with 37 C.F.R. § 1.98(a)(2)(iii), a copy of each of the cited U.S. Applications is enclosed.

CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that an initialed copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicants' undersigned attorney may be reached in our Washington, D.C.
office by telephone at (202) 530-1010. All correspondence should continue to be directed to our
address given below.

Respectfully submitted,



Michael E. Kondoudis
Attorney for Applicants
Registration No. 42,758

FITZPATRICK, CELLA, HARPER & SCINTO
30 Rockefeller Plaza
New York, New York 10112-3801
Facsimile: (212) 218-2200
MEK:eyw

DC-MAIN 80598 v1

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)ATTY DOCKET NO.
862.C2392APPLICATION NO.
09/963,569APPLICANT
FUMITAKA TOYOMURA, ET AL.FILING DATE
September 27, 2001GROUP
2838

Submitted to the PTO: December 27, 2001

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|--------------------|----------|------------------|-------|----------|-------------------------------|
| | 5,592,074 | 01/07/97 | Takehara | 363 | 131 | |
| | 5,621,300 | 04/15/97 | Sato et al. | 320 | 5 | |
| | 5,669,987 | 09/23/97 | Takehara et al. | 136 | 244 | |
| | 5,714,869 | 02/03/98 | Tamechika et al. | 320 | 30 | |
| | 5,548,504 | 08/20/96 | Takehara | 363 | 65 | |
| | 5,751,133 | 05/12/98 | Sato et al. | 320 | 13 | |
| | 5,869,956 | 02/09/99 | Nagao et al. | 323 | 299 | |
| | 5,892,354 | 04/06/99 | Nagao et al. | 323 | 299 | |
| | 5,923,158 | 07/13/99 | Kurokami et al. | 323 | 299 | |
| | 5,955,885 | 09/21/99 | Kurokami et al. | 324 | 426 | |
| | 5,986,354 | 11/16/99 | Nagao et al. | 307 | 64 | |
| | 6,101,073 | 08/08/00 | Takehara | 361 | 42 | |
| | 6,207,896 | 03/27/01 | Toyomura | 174 | 58 | |
| | 6,278,052 | 08/21/01 | Takehara et al. | 136 | 244 | |
| | 6,320,769 | 11/20/01 | Kurokami et al. | 363 | 56.03 | |
| | 5,886,890 | 03/23/99 | Ishida, et al. | 363 | 71 | |

FOREIGN PATENT DOCUMENTS

| DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES/NO/ OR ABSTRACT |
|--------------------|----------|---------|-------|----------|---------------------------------------|
| 58-069470 | 04/25/83 | Japan | | | Abstract |
| 10-14111 | 01/16/98 | Japan | | | Abstract |

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.